

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scott A. Waterman et al.

Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING  
INTELLIGENCE WITH CONTENT

Docket No.: 1546.009US1

Filed: October 31, 2001

Examiner: Unknown

Serial No.: 10/004,264

Due Date: March 3, 2002

Group Art Unit: 2176

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$502.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A signed Combined Declaration and Power of Attorney (4 pgs.).
- ☒ Notice to File Missing Parts (1pg.)
- ☒ Communication Re: Corrected Application Papers (1 pg.)
- ☒ Formal Drawings (12 pgs.).

**Applicant claims small entity status under 37 C.F.R. 1.27.**

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: S. Arora  
Name: Suneel Arora  
Reg. No. 42,267  
SA:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22 day of February, 2002.

Emily Legendre  
Name

Emily Legendre  
Signature

S/N 10/004,264

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Scott A. Waterman et al. Examiner: Unknown  
Serial No.: 10/004,264 Group Art Unit: 2176  
Filed: October 31, 2001 Docket: 1546.009US1  
Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN  
ASSOCIATING INTELLIGENCE WITH CONTENT

COMMUNICATION RE: MISSING PARTS

COPY OF PAPERS  
ORIGINALLY FILED

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$502.00 to cover the small entity basic filing fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

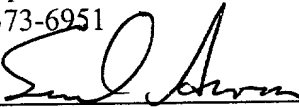
SCOTT A. WATERMAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6951

Date Feb. 22, 2002

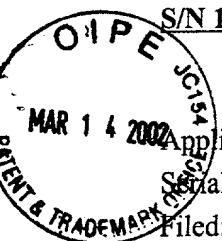
By

  
Suneel Arora  
Reg. No. 42,267  
SA:CMG:eml

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 22 day of February, 2002.

Emily Legendre  
Name

Emily Legendre  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Scott A. Waterman et al. Examiner: Unknown  
Serial No.: 10/004,264 Group Art Unit: 2176  
Filed: October 31, 2001 Docket: 1546.009US1  
Title: DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN  
ASSOCIATING INTELLIGENCE WITH CONTENT

**COMMUNICATION RE: CORRECTED APPLICATION PAPERS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are 12 sheets of Formal Drawings.

Applicants assume the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (612) 373-6951.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

SCOTT A. WATERMAN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6951

Date 2/22/2002

By Suneel Arora

Suneel Arora  
Reg. No. 42,267  
SA:CMG:eml

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as first  
class mail in an envelope addressed to Assistant  
Commissioner of Patents, Washington, D.C. 20231,  
on February 22, 2002  
Date of Deposit

Emily Legendre  
Emily Legendre

200440-4924001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/004,264	10/31/2001	Scott A. Waterman	1546 009US1

CONFIRMATION NO. 3913

## FORMALITIES LETTER



\*OC000000007259382\*

21186

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

Date Mailed: 01/03/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/19/2002 MWOLDER1 00000009 10004264

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 DP
02 FC:202	42.00 DP
03 FC:203	90.00 DP
04 FC:205	65.00 DP

**Filing Date Granted**COPY OF PAPER IS  
ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$132.
  - \$90 for 10 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 567.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

